

## Ex-FINRA Atty Joins Maynard Nexsen From Moore & Van Allen

By **Adrian Cruz**

Law360 (March 11, 2025, 1:49 PM EDT) -- Maynard Nexsen PC announced that a veteran attorney who spent over a decade working in the Financial Industry Regulatory Authority's enforcement department has joined the firm from Moore & Van Allen PLLC as of counsel.

Jonathan Prytherch joined Maynard Nexsen in Charlotte, North Carolina, on Monday after spending the previous three and a half years at Moore & Van Allen.

He told Law360 Pulse on Tuesday that he joined the firm because of its need for someone with securities broker-dealer regulatory experience, adding that he felt his practice would mesh well with the other work the firm does.



Jonathan Prytherch

"After meeting with the team and hearing more about the practice, I thought my skill set would be a good fit in complimenting the team's other practice areas, which led me to join the firm," Prytherch said. "My goals for my time with Maynard Nexsen are to help the firm grow in the broker-dealer space by providing the firm's clients with regulatory advice to complement other areas of representation."

A graduate of Brooklyn Law School, Prytherch said that his practice focuses on advising broker-dealers in responding to inquiries and investigations conducted by FINRA, the U.S. Securities and Exchange Commission and state securities regulators, along with assisting broker-dealers with internal investigations and providing regulatory counseling to clients.

"Maynard Nexsen currently advises a diverse broker-dealer client base on employment law, securities arbitration, and internal investigation matters," Prytherch said. "These types of matters often have associated FINRA regulatory implications, and I believe that my FINRA enforcement attorney experience will be valuable in helping those clients navigate FINRA regulatory issues, including inquiries and advice."

Before going into private practice with Moore & Van Allen, Prytherch spent about six years in-house with financial services company LPL Financial in a variety of roles including assistant general counsel and vice president of regulatory exams and inquiries. He also spent nearly 17 years with FINRA, including 14 as senior regulatory counsel, according to his LinkedIn page.

During his time at FINRA, Prytherch said he counseled examination staff on routine and cause examinations of member firms and registered representatives while also bringing disciplinary actions against those firms and representatives via negotiated settlements or litigated proceedings.

"I believe the main highlight of my career at FINRA was serving the overall regulatory goal of investor protection through my prosecution of disciplinary matters to address instances of misconduct," Prytherch said. "My experience as a former FINRA enforcement attorney has been instrumental in my roles as both in-house counsel to a large independent model broker-dealer and in private practice, where it has allowed me to assist clients with regulatory expectations and processes that they may be dealing with as part of securities regulatory inquiries."

Earlier this month, Maynard Nexsen hired corporate shareholder David Rains in Birmingham, Alabama, and in February, government relations adviser **Carter Wells** joined the firm in Huntsville, Alabama, and Washington, D.C.

Maynard Nexsen currently has more than 550 attorneys across 24 nationwide offices, according to the firm's website.

--Editing by Nicole Bleier.